



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,241	11/09/2001	Paul West	1217.013USU/KPG 1141

Vazken Alexanian  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, CT 06901-2682

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CONFIRMATION NO. 9269

FORMALITIES LETTER



Date Mailed: 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/14/2002 SZEWDIE1 00000054 10040241

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	420.00 OP
04 FC:103	450.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$870.
  - \$450 for 25 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1740.

A copy of this notice **MUST** be returned with the reply.

*MDuong*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*Sehr*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Munnelly et al.  
Serial No.: 10/040,241  
For: HIGH-SPEED NEGATIVE-WORKING THERMAL PRINTING PLATES  
Filed: November 9, 2001  
Examiner: Not yet assigned  
Art Unit: 1752  
Confirmation No.: 9269  
Attorney Docket: 1217.013USU/KPG 1141

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**Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Dear Sir/Madam:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** Declaration and Power of Attorney form executed by Heidi Munnelly and Paul West;
- The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- \_\_\_\_\_ Sheets of Formal Drawings;
- XXX** An Assignment of the Invention to : **ASSIGNEE NAME: KODAK  
POLYCHROME GRAPHICS, L.L.C., ASSIGNEE RESIDENCE: NORWALK,  
CONNECTICUT**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449); and
- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.

\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	45 - 20 =	25	x \$18.00	\$450.00
Independent Claims	8 - 3 =	5	x \$84.00	\$420.00
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$1,610.00</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$
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**XX** A check in the amount of \$ **1,780.00** to cover the (\$1,610.00) filing fee, the (\$130.00) surcharge and the (\$40.00) recordation of Assignment fee is enclosed.

**XX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

February 27, 2002  
Date

V. Alexanian

Vazken Alexanian  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Agent for Applicant(s)  
Registration No. 37,270  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON FEBRUARY 27, 2002.

Victoria E. Roeser  
NAME

Victoria E. Roeser  
SIGNATURE

02/27/02  
DATE